

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Kuzmich, Daniel et al) Art Unit: To be Assigned
U.S. Appln. No. : To be Assigned) Examiner: To be Assigned
Confirmation No. : To be assigned
U.S. Filing Date : December 18, 2003
Title of Invention : Glucocorticoid Mimetics, Methods of Making them, Pharmaceutical
Compositions, and Uses Thereof
Attny. Docket No. : 9/272

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

December 18, 2003

TRANSMITTAL LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith concerning the subject application is an Information Disclosure Statement (Form 1449A/B) under 37 C.F.R. §§1.56 and 1.97, as more specifically described hereinbelow.

☒ 1.97(b). This Statement is being filed: i) within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. §1.53 (d); ii) within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; iii) before the mailing of a first Office action on the merits; or iv) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

☐ 1.97(c). This Statement is being filed after the time period specified in 37 C.F.R. §1.97(b), but before the mailing date of: i) a final action under 37 C.F.R. §1.113, ii) a notice of allowance under 37 C.F.R. §1.311, or iii) an action that otherwise closes prosecution in the application. This Statement is being accompanied by:

☐ A statement as specified in 37 C.F.R. §1.97(e) [see below]; or

☐ The fee set forth in 37 C.F.R. §1.17(p).

☐ The Commissioner is hereby authorized to charge payment of the \$180.00 fee set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 02-2955.

☐ 1.97(d). This Statement is being filed after the period specified in 37 C.F.R. §1.97(c) but on or before payment of the issue fee. This Statement is accompanied by a statement as specified in 37 C.F.R. §1.97(e) [see below] and the fee set forth in 37 C.F.R. §1.17(p).

☐ 1.97(e).

☐ Each item of information contained in the instant information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the instant information disclosure statement; or

☐ No item of information contained in the instant information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in the instant information disclosure statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three (3) months prior to the filing of the instant information disclosure statement.

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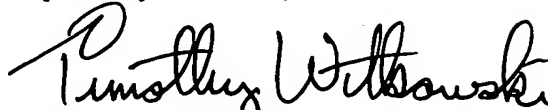
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☐ 1.704(d). Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart application, which communication was not received by any individual designated in section 1.56(c) more than thirty (30) days prior to the filing of the accompanying information disclosure statement.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. §1.16 and any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment of same, to Deposit Account No. 02-2955.

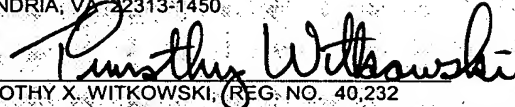
Triplicate copies of this form are enclosed.

Respectfully submitted,



Timothy X. Witkowski
Attorney for Applicant(s)
Reg. No. 40,232

Boehringer Ingelheim Corp.
900 Ridgebury Road, P.O. Box 368
Ridgefield, CT 06877
Tel: (203) 798-4310
Date: December 18, 2003

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 4

Complete if Known

Application Number	To be assigned
Filing Date	December 18, 2003
First Named Inventor	Kuzmich, Daniel et al
Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	9/272

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,323,199	11/27/2001	Lehmann, M. et al	
		US- 5,039,691	08/13/1999	Spagnuolo, C. et al	
		US- 6,583,180	06/24/2003	Link, J.T. et al	
		US- 6,329,534	12/11/2001	Kym, P.R. et al	
		US- 6,436,986	08/20/2003	Kym, P.R. et al	
		US- 4,880,839	11/14/1999	Tucker, H.	
		US- 2002/0156311	10/24/2002	Link, J.T. et al	
		US- 6,506,766	01/14/2003	Coghlan, M.J. et al	
		US- 6,380,223	04/30/2002	Dow, R.L., et al	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		WO 02/10143	02/07/2002	Schering Aktiengesel.		
		WO 02064550	08/22/2002	Abbott Laboratories		
		WO 99/41256	02/12/1999	Abbott Laboratories		
		WO 02/02565	01/10/2002	Abbott Laboratories		
		WO 00/66522	11/09/2000	Pfizer Products, Inc		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U. S. PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0 253 500	02/27/1991	Imperial Chemical Ind		
		EP 0 253 503	12/11/1991	Imperial Chemical Ind		
		GB 2 146 987 A	09/19/1984	Sandoz, Ltd.		
		EP 0 154 528 A2	03/01/1985	Imperial Chemical Ind		
		EP 0 154 528 A3	03/01/1985	Imperial Chemical Ind		

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		Country Code ³ *Number ⁴ *Kind Code ⁵ (if known)				
		WO 96/19458	06/27/1996	Ligand Pharmaceut.		
		WO 97/27852	08/07/1997	Merck & Company		
		WO 98/54159	12/03/1998	Schering Aktien.		
		WO 00/32584	06/08/2000	Scherin Aktien.		
		BE 900594	03/18/1985	Sandoz S.A.		

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First Named Inventor

Kuzmich, Daniel et al

Art Unit

To be assigned

Examiner Name

To be assigned

Attorney Docket Number

9/272

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Hamann, Lawrence, et al ; Discovery of a potent, Orally active, Nonsteroidal Androgen Receptor Agonist: 4-Ethyl-1,2,3,4-tetrahydro-6-(trifluoromethyl)-8-pyridono[5,6-gl-quinoline(LG12107I), J. Med Chem, 1999, 42, 210-212	
		Pooley, Charlotte, et al; Discovery and Preliminary SAR Studies of a Novel Nonsteroidal Progesterone Receptor Antagonist Pharmacophore, J. Med. Chem 1998, 41, 3461-3466	
		Edwards, James, P. et al; 5-Aryl-1,2-dihydro-5H-chromeno[3,4-f]quinolines as Potent, Orally Active, Nonsteroidal Progesterone Receptor Agonists; The Effect of D-Ring Substituents, J. Med. Chem 1998, 41, 303-310	
		Zhi, Lin, et al; 5-Aryl-1,2-dihydro-5H-chromeno[3,4-f]quinolines: A Novel Class of Nonsteroidal Human Progesterone Receptor Agonists, J. Med. Chem 1998, 41, 291-302	
		Zhi, Lin; et al 5-Aryl-1,2,3,4-tetrahydrochromeno[3,4-f]quinolin-3-ones as a Novel Class Of Nonsteroidal Progesterone Receptor Agonists: Effect of A-Ring Modification, J. Med. Chem 1999, 42, 1466-1472	
		Tegley, Christopher, et al; 5-Benzylidene 1,2-Dihydrochromeno[3,4-f]quinolines, A Novel Class of Nonsteroidal Human Progesterone Receptor Agonists; J. Med. Chem 1998, 41, 4354-4359	
		Edwards, James, P. et al; Preparation, Resolution and Biological Evaluation of 5-Aryl-1,2-dihydro-5H-chromeno[3,4-f]quinolines as Potent, Orally Active, Nonsteroidal Progesterone Receptor Agonists; J. Med. Chem. 1998, 41, 2779-2785	
		Hamann, Lawrence, et al; Synthesis and Biological Activity of a Novel Series of Nonsteroidal, Peripherally Selective androgen Receptor Antagonists Derived from 1,2-Dihydropyridono[5,6-g]quinolines. J. Med. Chem 1998, 41, 623-639	
		English Translation of WO/02/10143	

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